



## **Technology Transfer Experiment Open Call 2020 under the DIH-HERO Innovation Action**

### **Applicant guide**

Please note that this applicant guide may be used for information purposes only. The information given in this guide is subject to revision. Please visit our website [www.dih-hero.eu](http://www.dih-hero.eu) to ensure that you have the latest version. Proposals will have to be submitted using the online application form provided at [www.dih-hero.eu](http://www.dih-hero.eu)

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## 1. About DIH-HERO

The major objective of the DIH-HERO project (Digital Innovation Hubs in Healthcare Robotics) is to establish a broad-based pan-European network of Digital Innovation Hubs specialized in Healthcare Robotics. The network will focus on providing services which connect business and healthcare stakeholders in developing innovative products and services for the healthcare market. It will establish channels between healthcare and technology providers that reduce barriers to adoption and create strong mutual understanding between robotics technology innovators and healthcare professionals. Each hub partner delivers both technical and medical expertise to the DIH-HERO consortium through their pre-existing operational relationships with hospitals and healthcare facilities. This initiative unites expertise in business development, access to finance and innovation to robotics technology and healthcare expertise in a network of hubs that will connect and stimulate robotics innovation in all aspects of healthcare. DIH-HERO is built on concrete plans to sustain the network beyond the time span of the project and to provide a long-term network for innovators in healthcare. The network of Digital Innovation Hubs created and supported by DIH-HERO will ensure that robotics innovation in healthcare is accelerated, that SME can develop global reach and that the advantages of robotics-based healthcare can be propagated across Europe.

The current applicant guide is meant to provide detailed information concerning the DIH-HERO Technology Transfer Experiment Open Call 2020. This call is planned to be open for proposal submission from the 15th of January 2020 until the 15th of June 2020, 23:59 CET.

## 2. General Description of the Technology Transfer Experiment Call (TTE) call

### 2.1 Purpose of the TTE call

The Technology Transfer Experiment call is meant to stimulate the transfer of technology from outside and creating new links inside the value chain, thereby enabling the societal & economic impact. Proposals must include the transfer of innovative technologies from outside the robotics and healthcare domains, contribute to solving unanswered clinical questions or solve implementation hurdles, generating new business for the healthcare robotics industry.

### 2.2 Who can apply?

Only legal entities being **SMEs or slightly larger companies** and operating in the healthcare and/ or robotics sector within the [EU and its associated countries \(EEA\)](#) are eligible for FSTP funding. This means that **SMEs** that fulfill the criteria of the general SME definition stated in article 2 of the annex to Recommendation 2003/361 EC, are allowed to apply for the TTEs. These criteria can be summarized as follows:

“To count as an SME, your organization must be engaged in an economic activity and must have:

- fewer than 250 employees
- and
- an annual turnover of no more than €50 million and/or a balance sheet of no more than €43 million

Furthermore, the term “**slightly larger companies**” can be defined as follows:

“To count as a “slightly larger company”, your organisation must be engaged in an economic activity and must have:

- fewer than 500 employees
- and
- an annual turnover of no more than €100 million.

Whether you count as an SME or a slightly larger company may depend on how you count your workforce, turnover or balance sheet. Please note that you must take into account any relationships you have with other enterprises. Depending on the category in which your enterprise fits, you may then need to add some, or all of the data. For more details: Regulation 2003/361/EC – see "Annex" for a full definition of an SME." (European Commission, 2019)

### 2.3 Type of activity qualifying for financial support and major application domains

The major aim of the Technology Transfer Experiment project is the transfer of technology from outside and creating new links inside the value chain, addressing a specific clinical healthcare problem in one of the following major application domains in Healthcare robotics:

- Diagnostic Robotics
- Interventional Robotics
- Rehabilitation Robotics
- Robotics supporting patients
- Robotics supporting healthcare professionals

In addition, proposals must clearly state the clinical relevance of and demand for this solution, have a clear linkage to the end-user and should make use of the expertise / services of the DIH-HERO network, making use of its services or offerings.

### 2.4 How to build the project consortium?

The project consortium must at least consist of 2 parties from 2 different EU/EEA countries:

*Either*

2 SMEs or slightly larger companies from 2 different EU/EEA countries

Or

1 SME or slightly larger company and 1 end-user (e.g. a hospital) from 2 different EU/EEA countries.

Please note that when a project consortium consists of other parties than SMEs or slightly larger companies only the SMEs or slightly larger companies will receive funding.

### 2.5 Conflict of interest

DIH-HERO core partners cannot receive any financial support from the FSTP calls provided under the current innovation action. Applicants cannot include DIH-HERO core partners in their project consortia.

### 2.6 Duration, start date of the TTE project

The maximum Technology Transfer Experiment duration is 15 months. The TTE should start at the first day of the following month after entry into force of the TTE funding agreement.

## 3. Eligibility

### 3.1 General eligibility criteria

**All** of the following eligibility criteria will have to be fulfilled for a TTE project to be funded:

1. Third parties receiving financial support must be a legal entity, being an SME or slightly larger companies from EU / EEA countries;

2. At least two parties must be involved (either two SMEs or slightly larger companies from two different EU/EEA countries, or one SME or slightly larger company and one end-user (e.g. hospital) from two different EU/EEA countries;
3. The proposal must clearly state the relevant clinical demand for the intended robotic solution including a clear linkage to end-users;
4. The proposal must address expertise and/or [services of the DIH-HERO network](#);
5. The proposal must fit to the call text;
6. A third party may not receive more than 300k FSTP for the entire action duration;
7. Proposal must be written in English and submitted via the portal before the deadline;
8. There must be no conflicts of interests with DIH-HERO consortium partners.
9. Third parties receiving financial support should be financially healthy (they have to sign a declaration of no financial problems).

### 3.2 Eligible cost categories

Costs incurred in the following [cost categories](#) will be regarded as being eligible for reimbursement according to EC guidelines:

- Direct personnel costs
  - Direct costs of subcontracting
- whereas,
- subcontracting has to be necessary to implement the action.
  - subcontracting may cover only a limited part of the action.
  - awarding of subcontracts must be done ensuring the best value for money or, if appropriate, the lowest price principle.
  - any conflict of interests must be avoided.
- Other direct costs
    - travel costs and related subsistence allowances
    - equipment costs
    - costs of other goods and services
    - indirect costs (25% of direct personnel costs and other direct costs)

## 4. Payment terms and reimbursement rates

The payment structure for the Technology Transfer Experiment projects is defined as follows:

- 40% of the payment will be released at contract signature and
- 30% after the approval of the intermediate report (to be submitted 8 months after the project start)
- 30% after the final report has been submitted and approved.

## 5. The application process

### 5.1 Proposal preparation

#### 5.1.1 Proposal language

Any proposal for the technology transfer experiment call under the innovation action DIH-HERO will have to be prepared and submitted in English language. Proposals prepared in another language will not be taken into consideration.

#### 5.1.2 Proposal contents

Any Technology Transfer Experiment proposal should at least contain the following information (see also Annex I for an example of the TTE online submission form):

#### 1. Administrative Data (to be filled-in in the online submission form)

- Contact Data will be collected from all the Technology Transfer Experiment partners
- TTE Key Data such as:
  - Full title of the TTE;
  - Short name of the TTE;
  - Brief description (Short Abstract of approx. 10 -15 lines)
  - Clinical Problem aimed to be addressed
  - Major application domain to be targeted
  - Current TRL level
  - Duration (max. 15 months)
  - Statement on legal agreement describing the rights and duties of each party to be negotiated among TTE consortium partners), and
  - Statement on the TTE announcement for publication.

#### 2. Description of the TTE (to be uploaded as a pdf in the online application form)

The part 2 of the application must include the following sections. (Please see [the proposal template](#) for further information):

- Title page
- Abstract
- Excellence
- Impact
- Implementation
- TTE partner profiles
- [Resource planning/ TTE budget](#)
- Ethical issues, data protection and privacy

3. [Declaration of honour](#) (signed by all partners saved as pdf and uploaded in the online form e.g. if a project consortium consists of 3 partners the pdf file should consist of 3 pages; 3 Declarations, one per partner)

4. [Signed declaration of informed consent for data processing](#) saved in pdf format (signed by all partners saved as pdf and uploaded in the online form e.g. if a project consortium consists of 3 partners the pdf file should consist of 3 pages; 3 Declarations, one per partner)

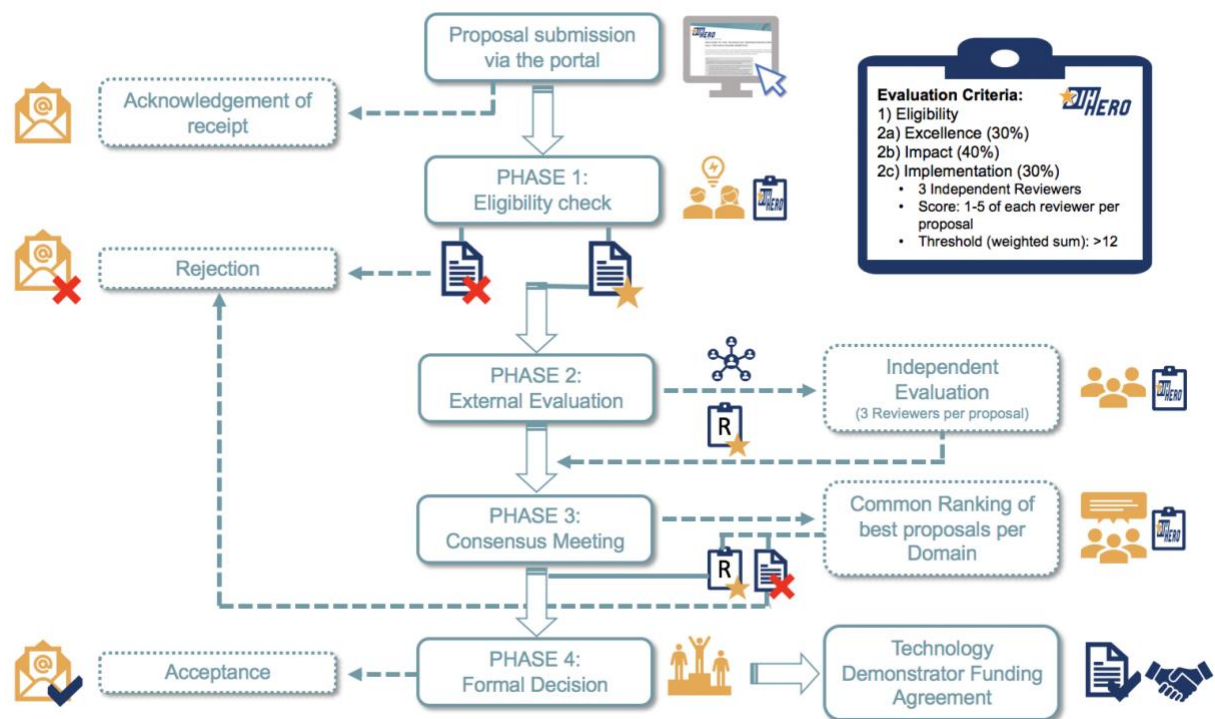
5. Questions for statistical purposes (to be answered in the online form)

## 5.2 Proposal submission

Eligible proposals are to be submitted via the DIH-HERO online portal <http://dih-hero.eu>. Generally, proposals submitted by any other means will not be taken into consideration. However, to ensure the accessibility of the submission form to anyone it is in exceptional cases also possible to download the submission form and send it to [dih-healthcare@utwente.nl](mailto:dih-healthcare@utwente.nl). Once the proposal has been submitted it can be edited or updated until the given deadline by logging in to the portal. A TTE project consortium is only allowed to submit one proposal per TTE call. In case more than one proposal is submitted by one TTE project consortium, only one proposal submitted will be taken into consideration. A third party may take part in different TTE project consortia. However, the maximum possible financial support for any SME or slightly larger company is limited to €300,000 for the entire duration of the DIH-HERO project. In addition, it is not possible to have the same proposal content funded twice. Shortly after proposal submission, an automatically generated acknowledgement of receipt will be sent to the applicant's email address. Please note that this acknowledgement of receipt does not imply that the proposal has been accepted as eligible for evaluation. A survey may be conducted during the course of the project among all applicants.

## 5.3 Proposal evaluation and selection

### 5.3.1 The different reviewing and evaluation phases





- **Phase 1: Eligibility check (approx. 4 weeks)**

Basic eligibility checks according to the eligibility criteria stated in section 3.

- **Phase 2: External Review (approx. 4-6 weeks)**

The FSTP team will engage experts for evaluating the proposals and ensure that they are independent from the organisations involved in the DIH-HERO consortium and from the proposers. Following the eligibility check. Each proposal will be reviewed by three independent reviewers, assessing the proposals excellence (weight 30%), impact (weight 40%) and the implementation (weight 30%). Each evaluator will rank the proposals by a score from 0 to 5 for each criterion, administered in an Individual Evaluation Report. The final score will be calculated as the sum of the individual assessments provided by the Evaluators. The default threshold for individual criteria (Excellence, Impact and Implementation) is 3. The default overall threshold (the weighted sum of the three individual scores) is 12.

Scores from 0 - 5:

0	The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.
1	Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.
2	Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.
3	Good. The proposal addresses the criterion well, but a number of shortcomings are present.
4	Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.
5	Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

- **Phase 3: Consensus (approx. 1 weeks)**

All Individual Evaluation Reports will be combined and discussed on a consensus meeting, where all reviewers will be present. The outcome of the evaluation will be a ranked list of all proposals, based on the scores obtained by each proposal and the final discussions of the evaluators at the consensus meeting.

- **Phase 4: Ranking (approx. 1 week)**

Firstly, the two highest ranked proposals per robotics healthcare application domain (as defined in the call criteria) are selected. Secondly, all the remaining proposals are ranked independent of their domain, and the top proposals are selected until the budget for this TTE call is used.

## 6. Ethics

The Research activities in Horizon 2020, and hence in DIH-HERO, shall respect fundamental ethical principles, particularly those outlined in “The European Code of Conduct for Research Integrity”. Personal data must be protected and processed in compliance with the applicable EU and national law on data protection (including authorisations or notification requirements), under Regulation No 45/2001 for the purposes of implementing, managing and monitoring the TTE or protecting the financial interests of the EU (including checks, reviews, audits and investigations). This regulation is from the European Parliament and the Council of 18 December 2000 on the protection of individuals with regard to the



processing of personal data by the Community institutions and bodies and on the free movement of such data (OJ L 8, 12.01.2001, p. 1). Ethical issues might refer to research with respect to e.g. humans, protection and processing of personal data, animals, environmental protection, and safety, etc. If any ethical issues apply to the TTE prior to its starting date, they must be addressed in the TTE proposal. On request, additional information on ethical aspects will be provided by the applicants, allowing DIH-HERO to perform an ethics review. If any ethical issues arise during the TTE run time, they must be immediately communicated to DIH-HERO by sending an email to: [dih-healthcare@utwente.nl](mailto:dih-healthcare@utwente.nl) A checklist summarizes any potential ethical issues that a TTE proposal could raise and gives guidance on how the issues should be briefly described and how measures should be implemented.

## 7. Contracting

Once an applicant has been awarded funding for a technology transfer experiment, a funding agreement will be signed between the awarded SME or slightly larger company and the coordinator of the DIH-HERO innovation action, representing the DIH-HERO consortium members.

## 8. TTE Monitoring and Reporting

The reporting will take place via the DIH-HERO portal. The midterm and final reports will be produced by third parties will be evaluated by a review team consisting of the assigned innovation coach plus two innovation coaches of other projects.

## 9. Possibility for participation in the different DIH-HERO open calls

SMEs and slightly larger companies also have the possibility to participate in different DIH-HERO open calls to apply for financial support for technology demonstrators as well as technology transfer experiments during the course of the DIH-HERO innovation action. However, the maximum possible financial support for any SME or slightly larger company is limited to €300,000. In addition, the applicant is not allowed to hand-in multiple proposals within the different Digital Innovation Hubs Projects Trinity, DIH2 and RIMA. Proposals may be cross-checked in this regard.

## 10. Support to applicants

For further information and questions regarding the calls under the DIH-HERO innovation action, the applicable eligibility criteria and the evaluation or in case of any technical problems concerning the submission of the proposal via the online portal, please contact the project coordinator by sending an email to: [dih-healthcare@utwente.nl](mailto:dih-healthcare@utwente.nl)

When contacting the Helpdesk, please include the following:

- a. Your username, telephone number and email address;
- b. Detailed description of the specific problem
- c. If possible, screenshots of the problem.



## **Technology Transfer Experiment Open Call 2020 under the DIH-HERO innovation action**

Please note that this proposal template may be used for information purposes only. The information given may be subject to changes. Proposals will have to be submitted using the online application form provided at [www.dih-hero.eu](http://www.dih-hero.eu)

## Appendix 1: Online application preview

### Technology Transfer Experiment Open Call 2020 Submission Form

WELCOME TO THE TECHNOLOGY TRANSFER EXPERIMENT OPEN CALL 2020 FOR HEALTHCARE ROBOTICS!

One essential aspect for the development a pan-European network of Digital Innovation Hubs (DIHs) in Healthcare Robotics are the Technology Transfer Experiment Projects, aimed to stimulate the transfer of technology from outside and creating new links inside the value chain, enabling the societal & economic impact.

### Summary of eligibility criteria

To be eligible for taking part in the Technology Transfer Experiment Call within the DIH-HERO project all of the following criteria must be fulfilled:

- a. Third parties receiving financial support must be a legal entity, being an SME or slightly bigger companies from EU/EEA countries;
- b. At least two parties have to be involved (either two SME's or slightly larger companies from two different EU/EEA countries, or one SME or slightly larger company and one end-user (e.g. hospital) from 2 different EU/EEA countries;
- c. The proposal must clearly state the relevant clinical demand for the intended robotic solution including a clear linkage to end-users;
- d. The proposal must address expertise and/or services of the DIH-HERO network;
- e. The proposal must fit to the call text;
- f. A third party may not receive more than 300k FSTP for the entire action duration;
- g. Proposal must be written in English and submitted via the portal before the deadline;
- h. There must be no conflicts of interests with consortium partners.
- i. Third parties receiving financial support must be financially healthy (they have to sign a declaration of no financial problems).

If you are sure to fulfil all the criteria given above, please continue with the next section.

## Summary of required information

The following information will be required in the online submission form:

- **Administrative Data:** Basic contact data of each TTE project partner (e.g. full name of legal entity, type of company, company registration number, place of registration, address, company website, as well as the name, telephone number and email address of the main contact person from coordinating SME or slightly larger company and the 2nd partner)
- **Key Data of the TTE Project** (e.g. full title of the TTE; short name of the TTE; brief description (short abstract of approx. 10 -15 lines) incl. an explanation of the technology to be transferred from outside the robotics and healthcare domains and creating new links inside the value chain; clinical problem to be solved; application areas; current TRL; project duration; statement on legal agreement describing the rights and duties of each party to be negotiated among TTE consortium partners), and statement on the TTE announcement for publication. (Given in the online form)
- TTE Project Proposal (incl. estimated budget) uploaded as pdf format.
- Signed declaration of honor from all partners saved in pdf format.
- Signed declaration of informed consent for data processing from all partners saved in pdf format.
- Questions for statistical purposes (Given in the online form)

### 1. Administrative Information

Please provide the general information about the project partners.

*Fields marked with a (\*) are required.*

Partner 1 (TTE project coordinator)

Full name of legal entity\*

Type of company\*

*Please state the legal form of the entity.*

- We confirm that we fulfill all criteria qualifying as a SME according to the EC definition (please upload the results of the SME self-assessment test)
- We confirm that we fulfill all criteria qualifying as a slightly larger company according to the EC definition.

Upload of SME Self-assessment test\*

*Please upload the SME Self-assessment test here even if you do not qualify as SME.*

Company registration number\*

*This is the official registration number at which the company is registered in the country where it is located (e.g. chamber of commerce).*

Place of registration\*

PIC code (optional)

*This is a 9-digit number serving as a unique identifier for organisations (legal entities) participating in EU funding programmes. If you have not participated in an EU funding programme before, please move to the next question.*

Address\*

Street Address\*

ZIP/Postal Code\*

City\*

Country\*

Company website

### Main contact person

Please provide the contact information of the person who administers the entire process. An account will be created using the provided email address.

*Fields marked with a (\*) are required.*

Name\*

First\*

Last\*

Telephone\*

Email address\*

*We advise you to use a functional email address that is not tied to a single person.*

**Partner 2:**

Full name of legal entity\*

Type of company\*

*Please state the legal form of the entity.*

- We confirm that we fulfill all criteria qualifying as a SME according to the EC definition (please upload the results of the SME self-assessment test)
- We confirm that we fulfill all criteria qualifying as a slightly larger company according to the EC definition.
- We confirm that we fulfill all criteria qualifying as an end-user according to the definition.

Upload of SME Self-assessment test\*

*Please upload the SME Self-assessment test here even if you do not qualify as SME.*

Company registration number\*

*This is the official registration number at which the company is registered in the country where it is located (e.g. chamber of commerce).*

Place of registration\*

PIC code (optional)

*This is a 9-digit number serving as a unique identifier for organisations (legal entities) participating in EU funding programmes. If you have not participated in an EU funding programme before, please move to the next question.*

Address\*



Street Address\*

ZIP/Postal Code\*

City\*

Country\*

Company website

### Main contact person of partner 2

Please provide the contact information of the person who administers the entire process.

*Fields marked with a (\*) are required.*

Name\*

First\*

Last\*

Telephone\*

Email address\*

*We advise you to use a functional email address that is not tied to a single person.*

**Partner 3:**

Full name of legal entity\*

Type of company\*

*Please state the legal form of the entity.*

- We confirm that we fulfill all criteria qualifying as a SME according to the EC definition (please upload the results of the SME self-assessment test)
- We confirm that we fulfill all criteria qualifying as a slightly larger company according to the EC definition.
- We confirm that we fulfill all criteria qualifying as an end-user according to the definition.
- We confirm we are not an SME, slightly larger company or end-user.

Company registration number\*

*This is the official registration number at which the company is registered in the country where it is located (e.g. chamber of commerce).*

Place of registration\*

PIC code (optional)

*This is a 9-digit number serving as a unique identifier for organisations (legal entities) participating in EU funding programmes. If you have not participated in an EU funding programme before, please move to the next question.*

Address\*

Street Address\*

ZIP/Postal Code\*

City\*

Country\*

Company website

**Partner 4:**

Full name of legal entity\*

Type of company\*

*Please state the legal form of the entity.*

- We confirm that we fulfill all criteria qualifying as a SME according to the EC definition (please upload the results of the SME self-assessment test)
- We confirm that we fulfill all criteria qualifying as a slightly larger company according to the EC definition.
- We confirm that we fulfill all criteria qualifying as an end-user according to the definition.
- We confirm we are not an SME, slightly larger company or end-user.

Upload of SME Self-assessment test\*

*Please upload the SME Self-assessment test here even if you do not qualify as SME.*

Company registration number\*

*This is the official registration number at which the company is registered in the country where it is located (e.g. chamber of commerce).*

Place of registration\*

PIC code (optional)

*This is a 9-digit number serving as a unique identifier for organisations (legal entities) participating in EU funding programmes. If you have not participated in an EU funding programme before, please move to the next question.*

Address\*

Street Address\*

ZIP/Postal Code\*

City\*

Country\*

Company website

### Partner 5:

Full name of legal entity\*

Type of company\*

*Please state the legal form of the entity.*

- We confirm that we fulfill all criteria qualifying as a SME according to the EC definition (please upload the results of the SME self-assessment test)
- We confirm that we fulfill all criteria qualifying as a slightly larger company according to the EC definition.
- We confirm that we fulfill all criteria qualifying as an end-user according to the definition.
- We confirm we are not an SME, slightly larger company or end-user.

Upload of SME Self-assessment test\*

*Please upload the SME Self-assessment test here even if you do not qualify as SME.*

Company registration number\*

*This is the official registration number at which the company is registered in the country where it is located (e.g. chamber of commerce).*

Place of registration\*

PIC code (optional)

*This is a 9-digit number serving as a unique identifier for organisations (legal entities) participating in EU funding programmes. If you have not participated in an EU funding programme before, please move to the next question.*



Address\*

Street Address\*

ZIP/Postal Code\*

City\*

Country\*

Company website\*

## 2. TTE Project - Key Data

Please provide the following key information about your TTE project.

*Fields marked with a (\*) are required.*

Full title of the TTE project\*

Short name of the TTE project\*

Brief description of the TTE project (Abstract of approx. 10-15 lines)\*

*Please give a short description of your TTE project including purpose, aim and an explanation of the technology to be transferred from outside the robotics and healthcare domains and creating new links inside the value chain.*

Clinical question to be solved by the TTE\*

Major application domain to be targeted by the TTE\*

Duration of the TTE project\*

Current TRL Level\*

Statement concerning legal agreement to be negotiated among the TTE project partners\*

- The TTE project partners will negotiate and sign an agreement describing all rights and duties of each party involved. If the TTE will be financially supported, we will send it by email no later than one month after the TTE start to: dih-healthcare@utwente.nl
  
- The TTE project partners have already signed an agreement describing all rights and duties of each party involved. If the TTE will be financially supported, we will send it by email no later than one month after the TTE start to: dih-healthcare@utwente.nl

Announcement of the TTE\*

- The TTE key data can be published after approval of the TTE financial support.
- The TTE brief description can be published at the end of the TTE and after the delivery of the public abstract (for details see the TTE funding agreement). Note: Other TTE key data have to be published, as required by the EC.

### 3. Files

*Please upload the detailed proposal, the result of the SME-Self-assessment questionnaire, the signed declaration of honour per partner and the signed informed consent form for data processing and storage per partner as pdf.*

- TTE Proposal upload
- SME-Self assessment questionnaire
- Signed declaration of honour (one per partner, saved in a single pdf)
- Signed informed consent form for data processing (one per partner, saved in a single pdf)

### 4. Questions for Statistical Purposes

## 5. Consent\*

I agree to the [privacy statement](#) of DIH-HERO.

## Appendix 2: DIH-HERO Consortium Members

1. Universiteit Twente (UT)
2. Universitätsklinikum Aachen (Aachen)
3. Fraunhofer Gesellschaft zur Förderung der angewandten Forschung e.V. (FhG-IPA)
4. Commissariat à l'énergie atomique et aux énergies alternatives (CEA)
5. Scuola Superiore di Studi Universitari e Perfezionamento Sant'Anna (SSSA)
6. Politecnico di Milano (POLIMI)
7. Fondazione Istituto Italiano di Tecnologia (IIT)
8. Fundacion Tecnalia Research & Innovation (TECNALIA)
9. Deutsches Zentrum für Luft- und Raumfahrt e.V. (DLR)
10. Imperial College of Science Technology and Medicine (Imperial)
11. Teknologisk Institut (DTI)
12. Interuniversitair Micro-electronica Centrum (IMEC)
13. Elektrotehnički fakultet, Univerzitet u Beogradu (ETF)
14. Eidgenössische Technische Hochschule Zürich (ETH Zürich)
15. Fundacja Rozwoju Kardiochirurgii im. prof. Zbigniewa Religi (FRK)
16. Fundacio Eurecat (EURECAT)
17. iTechnic GmbH (iTechnic),

## Appendix 3: Declaration of honour

### Declaration of honour

Name of organisation: .....

The undersigned, hereby declares and confirms the following:

- We have read, understood and will comply with the open call details and requirements.
- All information provided in the DIH-HERO technology transfer experiment proposal submitted by our entity is correct and complete.
- The information on the organisation's legal status submitted is correct (e.g. type of legal entity, in particular with respect to the SME or slightly larger company status).
- We are aware of the fact that we can withdraw the DIH-HERO technology transfer experiment proposal at any time, and we will not be penalised for withdrawing.
- Our company is not in any situation, which would exclude us from receiving financial support for third parties.
- Our company is not under liquidation or in financial difficulty.
- Our company is not subject to a conflict of interest in connection with the cascade funding.
- We will inform the DIH-HERO consortium, without delay, of any situation considered a conflict of interest or which could raise a conflict of interest.
- We will process any personal data in compliance with the applicable EU and national law on data protection.

\_\_\_\_\_  
Name entity representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

## Appendix 4: Declaration of informed consent for data processing

### Declaration of informed consent for data processing and storage

Name of organisation: .....

The undersigned, hereby declares and confirms the following:

- We have read and understood the open call details and requirements.
- We are aware of the fact that the contact and company details provided in the proposal submission form will be processed by the DIH-HERO consortium for the purposes of the open call for technology transfer experiment under the DIH-HERO innovation action.
- We are aware of the fact that the data provided may be shared with the Coordination Support Action RODIN in order to cross-check if multiple proposals with the same content have been submitted or if the 300k limit is reached.
- We are aware of the fact that the contact and company details provided will be stored by the DIH-HERO consortium for reporting purposes to the European Commission.
- I am aware of the fact that any personal data obtained in the framework of the DIH-HERO technology transfer experiment call will be processed in compliance with the Regulation (EU) 2016/679 General Data Protection Regulation.

\_\_\_\_\_  
Name entity representative

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature